

STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING **ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/048,033
Source: 1FW/b
Date Processed by STIC: 4/3/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER VERSION 4.4.0 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/efc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

Raw Sequence Listing Error Summary

ERROR DETECTED

SUGGESTED CORRECTION

SERIAL NUMBER:

10/048,033

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 Wrapped Nucleics
 Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor **after** creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2 Invalid Line Length The rules require that a line **not exceed** 72 characters in length. This includes white spaces.
- 3 Misaligned Amino
 Numbering The numbering under each 5th amino acid is misaligned. Do **not** use tab codes between numbers; use **space characters**, instead.
- 4 ✓ Non-ASCII The submitted file was **not** saved in ASCII(DOS) text, as **required** by the Sequence Rules. **Please ensure your subsequent submission is saved in ASCII text.**
- 5 Variable Length Sequence(s) contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6 PatentIn 2.0
 "bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**
- 7 Skipped Sequences
 (OLD RULES) Sequence(s) missing. If intentional, please insert the following lines for **each** skipped sequence:
 (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
 (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)
 (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
 This sequence is intentionally skipped
 Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to **include** the skipped sequences.
- 8 Skipped Sequences
 (NEW RULES) Sequence(s) missing. If **intentional**, please insert the following lines for **each** skipped sequence.
 <210> sequence id number
 <400> sequence id number
 000
- 9 Use of n's or Xaa's
 (NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.
 Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present.
 In <220> to <223> section, please explain location of **n** or **Xaa**, and which residue **n** or **Xaa** represents.
- 10 Invalid <213>
 Response Per 1.823 of Sequence Rules, the only **valid** <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence. (see item 11 below)
- 11 Use of <220> Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses } Use of <220> to <223> is **MANDATORY** if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules
- 12 PatentIn 2.0
 "bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13 Misuse of n/Xaa "**n**" can **only** represent a single nucleotide; "**Xaa**" can **only** represent a single amino acid



IFW16

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/048,033

DATE: 04/03/2006

TIME: 15:16:35

Input Set : A:\PTO.TS.txt

Output Set: N:\CRF4\04032006\J048033.raw

*see item 4
on Err summary
Sheet*

3 <110> APPLICANT: Celmed Oncology (USA), Inc.
 4 Shepard, Michael H.
 6 <120> TITLE OF INVENTION: Methods for treating therapy-resistant tumors
 8 <130> FILE REFERENCE: 060925-0601
 10 <140> CURRENT APPLICATION NUMBER: US 10/048,033
 11 <141> CURRENT FILING DATE: 2002-11-27
 13 <150> PRIOR APPLICATION NUMBER: PCT/US00/20007
 14 <151> PRIOR FILING DATE: 2000-07-21
 16 <150> PRIOR APPLICATION NUMBER: US 60/145,364
 17 <151> PRIOR FILING DATE: 1999-07-22
 19 <150> PRIOR APPLICATION NUMBER: US 60/153,855
 20 <151> PRIOR FILING DATE: 1999-09-14
 22 <160> NUMBER OF SEQ ID NOS: 6
 24 <170> SOFTWARE: PatentIn version 3.3

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ERRORED SEQUENCES

87 <210> SEQ ID NO: 6
 88 <211> LENGTH: 26
 89 <212> TYPE: DNA
 90 <213> ORGANISM: Artificial
 92 <220> FEATURE:
 93 <223> OTHER INFORMATION: used for PCR amplification
 95 <400> SEQUENCE: 6
 96 gaaggtagccc taaacagcca ttcca

*same response as
seq. 5-6*

**Does Not Comply
Corrected Diskette Needed**

*what is its source? see item 11
on Err summary
Sheet*

26

E--> 102 1
 E--> 105 1
 E--> 107 3 *delete*

10/048,033

2

<210> 2
<211> 20
<212> DNA
<213> Artificial

<220>
<223> corresponding to bases 564-583

<400> 2
ggccaactcc ctgtcctgaa

insufficient explanation - give
source of genetic
material

20

L
same error
in sequence 4

3

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/048,033

DATE: 04/03/2006

TIME: 15:16:36

Input Set : A:\PTO.TS.txt

Output Set: N:\CRF4\04032006\J048033.raw

L:102 M:254 E: No. of Bases conflict, this line has no nucleotides.

M:254 Repeated in SeqNo=6